

P24585.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

16698

U.S. PTO

Attorney Docket No.	P24585	Total Pages	
Inventor(s) or Application Identifier: Hiroyuki KOBAYASHI			
Title: VIDEO ENDOSCOPE APPARATUS			

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
PO Box 1450
Alexandria, VA 22313-1450

020404

16834 U.S. PTO 10/770483

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>35</u>] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>3</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p>	<p>9. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>2</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed a. <input checked="" type="checkbox"/> Claim of Priority b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b) (2), (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>19. <input checked="" type="checkbox"/> Assignee: <u>PENTAX Corporation, of Tokyo, Japan</u></p> <p>20. <input type="checkbox"/> Other: _____ _____ _____ _____</p>

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 C.F.R. 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior Application No. /, filed _____.
Prior application information: Examiner: _____

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

2/3/04

Date



Signature
Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

FEE TRANSMITTAL

				Complete if Known																																																																																																																																																																																																											
Application Number				Not Yet Assigned																																																																																																																																																																																																											
Filing Date				Concurrently Herewith																																																																																																																																																																																																											
First Named Inventor				Hiroyuki KOBAYASHI																																																																																																																																																																																																											
Group Art Unit				Unassigned																																																																																																																																																																																																											
Examiner Name				Unassigned																																																																																																																																																																																																											
TOTAL AMOUNT OF PAYMENT		(\$) <u>810.00</u>		Attorney Docket Number		P24585																																																																																																																																																																																																									
METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																											
1. <input checked="" type="checkbox"/> The U.S Patent and Trademark Office is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-0089</u> Deposit Account Name <u>GREENBLUM & BERNSTEIN, P.L.C.</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) <input type="checkbox"/> Applicant Claims Small Entity Status See 37 CFR 1.27.				3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>1804</td><td>920*</td><td>1804</td><td>920*</td><td>Requesting publication of SIR</td><td></td></tr> <tr><td></td><td></td><td>1805</td><td>1,840*</td><td>Prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td>1251</td><td>110</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td>1252</td><td>420</td><td>Extension for response within 1st month</td><td></td></tr> <tr><td></td><td></td><td>1253</td><td>950</td><td>Extension for response within 2nd month</td><td></td></tr> <tr><td></td><td></td><td>1254</td><td>1,480</td><td>Extension for response within 3rd month</td><td></td></tr> <tr><td></td><td></td><td>1255</td><td>2,010</td><td>Extension for response within 4th month</td><td></td></tr> <tr><td></td><td></td><td>1401</td><td>330</td><td>Extension for response within 5th month</td><td></td></tr> <tr><td></td><td></td><td>1402</td><td>330</td><td>Notice of Appeal</td><td></td></tr> <tr><td></td><td></td><td>1403</td><td>290</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td></td><td></td><td>1451</td><td>1,510</td><td>Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td>1452</td><td>110</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td>1453</td><td>1,330</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td></td><td></td><td>1501</td><td>1,330</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td></td><td></td><td>1502</td><td>480</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td></td><td></td><td>1503</td><td>640</td><td>Design issue fee</td><td></td></tr> <tr><td></td><td></td><td>1460</td><td>130</td><td>Plant issue fee</td><td></td></tr> <tr><td></td><td></td><td>1807</td><td>50</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td></td><td></td><td>1806</td><td>180</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td></td><td></td><td>8021</td><td>40</td><td>Submission of IDS</td><td></td></tr> <tr><td></td><td></td><td>1809</td><td>770</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td></td><td></td><td>1810</td><td>770</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td></td><td></td><td>1801</td><td>770</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td></td><td></td><td>1802</td><td>900</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr><td></td><td></td><td></td><td></td><td>Other fee (specify) _____</td><td></td></tr> <tr> <td colspan="4"></td> <td colspan="4">SUBTOTAL (3) (\$)<u>40.00</u></td> </tr> </tbody> </table>				Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid	1051	130	2051	65	Surcharge - late filing fee or oath		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For filing a request for reexamination		1804	920*	1804	920*	Requesting publication of SIR				1805	1,840*	Prior to Examiner action				1251	110	Requesting publication of SIR after Examiner action				1252	420	Extension for response within 1st month				1253	950	Extension for response within 2nd month				1254	1,480	Extension for response within 3rd month				1255	2,010	Extension for response within 4th month				1401	330	Extension for response within 5th month				1402	330	Notice of Appeal				1403	290	Filing a brief in support of an appeal				1451	1,510	Request for oral hearing				1452	110	Petition to institute a public use proceeding				1453	1,330	Petition to revive unavoidably abandoned application				1501	1,330	Petition to revive unintentionally abandoned application				1502	480	Utility issue fee (or reissue)				1503	640	Design issue fee				1460	130	Plant issue fee				1807	50	Petitions to the Commissioner				1806	180	Petitions related to provisional applications				8021	40	Submission of IDS				1809	770	Recording each patent assignment per property (times number of properties)	40.00			1810	770	Filing a submission after final rejection (37 CFR 1.129(a))				1801	770	For each additional invention to be examined (37 CFR 1.129(b))				1802	900	Request for Continued Examination (RCE)						Request for expedited examination of a design application						Other fee (specify) _____						Other fee (specify) _____						SUBTOTAL (3) (\$) <u>40.00</u>			
Large Entity Fee Code (\$)	Entity Fee (\$)	Small Entity Fee Code (\$)	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																										
1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																											
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																																																																																																											
1053	130	1053	130	Non-English specification																																																																																																																																																																																																											
1812	2,520	1812	2,520	For filing a request for reexamination																																																																																																																																																																																																											
1804	920*	1804	920*	Requesting publication of SIR																																																																																																																																																																																																											
		1805	1,840*	Prior to Examiner action																																																																																																																																																																																																											
		1251	110	Requesting publication of SIR after Examiner action																																																																																																																																																																																																											
		1252	420	Extension for response within 1st month																																																																																																																																																																																																											
		1253	950	Extension for response within 2nd month																																																																																																																																																																																																											
		1254	1,480	Extension for response within 3rd month																																																																																																																																																																																																											
		1255	2,010	Extension for response within 4th month																																																																																																																																																																																																											
		1401	330	Extension for response within 5th month																																																																																																																																																																																																											
		1402	330	Notice of Appeal																																																																																																																																																																																																											
		1403	290	Filing a brief in support of an appeal																																																																																																																																																																																																											
		1451	1,510	Request for oral hearing																																																																																																																																																																																																											
		1452	110	Petition to institute a public use proceeding																																																																																																																																																																																																											
		1453	1,330	Petition to revive unavoidably abandoned application																																																																																																																																																																																																											
		1501	1,330	Petition to revive unintentionally abandoned application																																																																																																																																																																																																											
		1502	480	Utility issue fee (or reissue)																																																																																																																																																																																																											
		1503	640	Design issue fee																																																																																																																																																																																																											
		1460	130	Plant issue fee																																																																																																																																																																																																											
		1807	50	Petitions to the Commissioner																																																																																																																																																																																																											
		1806	180	Petitions related to provisional applications																																																																																																																																																																																																											
		8021	40	Submission of IDS																																																																																																																																																																																																											
		1809	770	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																																																																																																										
		1810	770	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																											
		1801	770	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																											
		1802	900	Request for Continued Examination (RCE)																																																																																																																																																																																																											
				Request for expedited examination of a design application																																																																																																																																																																																																											
				Other fee (specify) _____																																																																																																																																																																																																											
				Other fee (specify) _____																																																																																																																																																																																																											
				SUBTOTAL (3) (\$) <u>40.00</u>																																																																																																																																																																																																											
*Reduced by Basic Filing Fee paid																																																																																																																																																																																																															

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name		<u>Bruce H. Bernstein</u>			Reg. Number
Signature		<u>Leslie M. Bernstein Reg. No. 33,329</u>			Deposit Account User ID
10/01/03		Date	2/3/04		